FORM PTO-1390 (Modified) (REV 11-2000)

U.S. DEPARTMEN

DMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

112740-551

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/070866

INTERNATIONAL APPLICATION NO. PCT/DE00/03077

INTERNATIONAL FILING DATE 06 September 2000 PRIORITY DATE CLAIMED 09 September 1999

TITLE OF INVENTION

 $\boxtimes$ 

Certificate of Mailing by Express Mail

☐ Other items or information:

22.

23.

## MOBILE RADIO TRANSMITTING AND RECEIVING DEVICE WITH A TUNABLE ANTENNA

|                 |                                                                                             | einert et al.                                                                                                                                              |  |  |  |  |  |
|-----------------|---------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|--|
| Appl            | icant l                                                                                     | herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:                                      |  |  |  |  |  |
| 1.              | $\boxtimes$                                                                                 | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.                                                                               |  |  |  |  |  |
| 2.              |                                                                                             | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.                                                                |  |  |  |  |  |
| 3.              |                                                                                             | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include itens (5 (9) and (24) indicated below. |  |  |  |  |  |
| <sub>5</sub> 4. | The US has been elected by the expiration of 19 months from the priority date (Article 31). |                                                                                                                                                            |  |  |  |  |  |
| 5.              | $\boxtimes$                                                                                 | A copy of the International Application as filed (35 U.S.C. 371 (c) (2))                                                                                   |  |  |  |  |  |
|                 |                                                                                             | a.  is attached hereto (required only if not communicated by the International Bureau).                                                                    |  |  |  |  |  |
|                 |                                                                                             | b.  has been communicated by the International Bureau.                                                                                                     |  |  |  |  |  |
|                 |                                                                                             | c.  is not required, as the application was filed in the United States Receiving Office (RO/US).                                                           |  |  |  |  |  |
| 6.              | $\boxtimes$                                                                                 | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).                                                           |  |  |  |  |  |
|                 |                                                                                             | a. 🛛 is attached hereto.                                                                                                                                   |  |  |  |  |  |
|                 |                                                                                             | b.  has been previously submitted under 35 U.S.C. 154(d)(4).                                                                                               |  |  |  |  |  |
| 7.              | $\boxtimes$                                                                                 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))                                                      |  |  |  |  |  |
|                 |                                                                                             | a.   are attached hereto (required only if not communicated by the International Bureau).                                                                  |  |  |  |  |  |
|                 |                                                                                             | b.  have been communicated by the International Bureau.                                                                                                    |  |  |  |  |  |
|                 |                                                                                             | c. $\square$ have not been made; however, the time limit for making such amendments has NOT expired.                                                       |  |  |  |  |  |
|                 |                                                                                             | d. 🖾 have not been made and will not be made.                                                                                                              |  |  |  |  |  |
| 8.              |                                                                                             | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).                                                |  |  |  |  |  |
| 9.              | $\boxtimes$                                                                                 | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).                                                                                          |  |  |  |  |  |
| 10.             |                                                                                             | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).            |  |  |  |  |  |
| 11.             | $\boxtimes$                                                                                 | A copy of the International Preliminary Examination Report (PCT/IPEA/409).                                                                                 |  |  |  |  |  |
| 12.             | $\boxtimes$                                                                                 | A copy of the International Search Report (PCT/ISA/210).                                                                                                   |  |  |  |  |  |
| It              | ems 1                                                                                       | 13 to 20 below concern document(s) or information included:                                                                                                |  |  |  |  |  |
| 13.             |                                                                                             | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.                                                                                            |  |  |  |  |  |
| 14.             | $\boxtimes$                                                                                 | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.                                          |  |  |  |  |  |
| 15.             | $\boxtimes$                                                                                 | A FIRST preliminary amendment.                                                                                                                             |  |  |  |  |  |
| 16.             |                                                                                             | A SECOND or SUBSEQUENT preliminary amendment.                                                                                                              |  |  |  |  |  |
| 17.             | $\boxtimes$                                                                                 | A substitute specification.                                                                                                                                |  |  |  |  |  |
| 18.             |                                                                                             | A change of power of attorney and/or address letter.                                                                                                       |  |  |  |  |  |
| 19.             |                                                                                             | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.                                          |  |  |  |  |  |
| 20.             |                                                                                             | A second copy of the published international application under 35 U.S.C. 154(d)(4).                                                                        |  |  |  |  |  |
| 24              |                                                                                             | A second conv of the English language translation of the international application under 35 LLS C 154(d)(4)                                                |  |  |  |  |  |

1 C C JC13 Rec'der CT/PT9 111 MAR 2002

| U.S. APPLICATION NO. (IF KNOWN, SEE 37                                                                                                                    | INTERNATIONAL APPL PCT/DE00                                                                                                                                                                                                  |                 | `                       |          |                         | DOCKET NUMBER<br>740-551 |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|-------------------------|----------|-------------------------|--------------------------|--|
| 24. The following fees are submitted:.                                                                                                                    |                                                                                                                                                                                                                              |                 |                         | C.       | ALCULATION              | S PTO USE ONLY           |  |
| BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (                                                                                                                   |                                                                                                                                                                                                                              |                 |                         |          |                         | _                        |  |
| <ul> <li>Neither international preliminary examina<br/>international search fee (37 CFR 1.445(a)<br/>and International Search Report not prepa</li> </ul> | (2)) paid to USPTO                                                                                                                                                                                                           | <b>.</b>        | \$1040.                 | 0        |                         |                          |  |
| International preliminary examination fee<br>USPTO but International Search Report p                                                                      | (37 CFR 1.482) not paid to repared by the EPO or JPO.                                                                                                                                                                        |                 | \$890.0                 | 0        |                         |                          |  |
| <ul> <li>International preliminary examination fee<br/>but international search fee (37 CFR 1.44)</li> </ul>                                              | (37 CFR 1.482) not paid to U<br>5(a)(2)) paid to USPTO                                                                                                                                                                       | SPTC            | )<br>\$740.             | 10       |                         |                          |  |
| ☐ International preliminary examination fee<br>but all claims did not satisfy provisions of                                                               | PCT Article 33(1)-(4)                                                                                                                                                                                                        |                 | \$710.0                 | 0        |                         |                          |  |
| ☐ International preliminary examination fee and all claims satisfied provisions of PCT                                                                    | (37 CFR 1.482) paid to USP1<br>Article 33(1)-(4)                                                                                                                                                                             |                 | \$100.0                 | ·•       | ÷                       |                          |  |
|                                                                                                                                                           |                                                                                                                                                                                                                              |                 |                         |          | \$890.00                |                          |  |
| Surcharge of \$130.00 for furnishing the oath or d months from the earliest claimed priority date (3'                                                     | CFR 1.492 (e)).                                                                                                                                                                                                              |                 |                         |          | \$0.00                  |                          |  |
| . CLAIMS NUMBER FILED  Total claims 10 - 20 =                                                                                                             | NUMBER EXTRA<br>0                                                                                                                                                                                                            |                 | x \$18.00               |          | \$0.00                  |                          |  |
| Total claims $10 - 20 =$ Independent claims $1 - 3 =$                                                                                                     | 0                                                                                                                                                                                                                            |                 | x \$84.00               |          | \$0.00                  |                          |  |
| Multiple Dependent Claims (check if applicable)                                                                                                           |                                                                                                                                                                                                                              |                 |                         |          | \$0.00                  |                          |  |
| TOTAL                                                                                                                                                     | OF ABOVE CALCUI                                                                                                                                                                                                              | LAT             | IONS =                  |          | \$890.00                | •                        |  |
| Applicant claims small entity status. See 37 reduced by 1/2.                                                                                              | CFR 1.27). The fees indicated                                                                                                                                                                                                | abov            | e are                   |          | \$0.00                  |                          |  |
|                                                                                                                                                           | S                                                                                                                                                                                                                            | UB.             | TOTAL =                 | =        | \$890.00                |                          |  |
| Processing fee of \$130.00 for furnishing the Engl months from the earliest claimed priority date (3)                                                     | ish translation later than CFR 1.492 (f)).                                                                                                                                                                                   | □ 20            | 0 🗆 30                  |          | \$0.00                  | ,                        |  |
|                                                                                                                                                           | TOTAL NATIO                                                                                                                                                                                                                  | NAI             | FEE =                   | =        | \$890.00                |                          |  |
| Fee for recording the enclosed assignment (37 CF accompanied by an appropriate cover sheet (37 C                                                          | R 1.21(h)). The assignment r<br>FR 3.28, 3.31) (check if appl                                                                                                                                                                | nust b          | ee<br>e).               | ]        | \$0.00                  |                          |  |
|                                                                                                                                                           | TOTAL FEES EN                                                                                                                                                                                                                |                 |                         | =        | \$890.00                |                          |  |
|                                                                                                                                                           |                                                                                                                                                                                                                              |                 | ,                       | An       | ount to be:<br>refunded | \$                       |  |
|                                                                                                                                                           |                                                                                                                                                                                                                              |                 |                         |          | charged                 | \$                       |  |
| b. Please charge my Deposit Accoun                                                                                                                        |                                                                                                                                                                                                                              |                 | s is enclosed.          | _        | to cover t              | he above fees.           |  |
| c. 🗵 The Commissioner is hereby author                                                                                                                    | A duplicate copy of this sheet is enclosed.  c.                                                                                                                                                                              |                 |                         |          |                         |                          |  |
| to Deposit Account No. 02-1                                                                                                                               |                                                                                                                                                                                                                              |                 |                         |          |                         |                          |  |
|                                                                                                                                                           | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |                 |                         |          |                         |                          |  |
| NOTE: Where an appropriate time limit under 1.137(a) or (b)) must be filed and granted to res                                                             | · 37 CFR 1.494 or 1.495 has<br>tore the application to pend                                                                                                                                                                  | not b<br>ing st | een met, a pet<br>atus. | ition to | revive (37 CFR          | 2                        |  |
| SEND ALL CORRESPONDENCE TO:                                                                                                                               |                                                                                                                                                                                                                              |                 | 1./5                    |          | 4                       |                          |  |
| William E. Vaughan (Reg. No. 39,056)<br>Bell, Boyd & Lloyd LLC                                                                                            | SIGNATUR                                                                                                                                                                                                                     | NATURE          |                         |          |                         |                          |  |
| P.O. Box 1135                                                                                                                                             | Vaug                                                                                                                                                                                                                         | han             |                         |          |                         |                          |  |
| Chicago, Illinois 60690<br>312-807-4292                                                                                                                   | NAME                                                                                                                                                                                                                         |                 |                         | ·        |                         |                          |  |
|                                                                                                                                                           |                                                                                                                                                                                                                              |                 | 39,056                  |          |                         |                          |  |
|                                                                                                                                                           |                                                                                                                                                                                                                              |                 | REGISTRA                | NOI1     | IUMBER                  | <del></del>              |  |
|                                                                                                                                                           |                                                                                                                                                                                                                              |                 | March 11,               | 2002     |                         |                          |  |
|                                                                                                                                                           |                                                                                                                                                                                                                              | DATE            |                         |          |                         |                          |  |

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| CERTIFICATE OF I                                                                                                                                                                                                                                                                                                                                                                                                  | MAIL" (37 CFR 1.10 | Docket No.<br>112740-551                                                                                                             |                |  |  |  |  |  |  |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------------------------------------------------------------------------------------------------------------------------|----------------|--|--|--|--|--|--|--|
| Serial No.                                                                                                                                                                                                                                                                                                                                                                                                        | Filing Date        | Examiner                                                                                                                             | Group Art Unit |  |  |  |  |  |  |  |
| Invention: MOBILE RADIO TRANSMITTING AND RECEIVING DEVICE WITH A TUNABLE ANTENNA                                                                                                                                                                                                                                                                                                                                  |                    |                                                                                                                                      |                |  |  |  |  |  |  |  |
| I hereby certify that the following correspondence:  TRansmittal letter to the United States Designated/Elected office in duplicate, International application as originally filed, English Translation, Preliminary Amendment, executed declaration and power of attorney, International search report, Inter. Preliminary Examination Report, filing fee \$890.00, postcard (see enclosed envelope for executed |                    |                                                                                                                                      |                |  |  |  |  |  |  |  |
| is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on                                                                                                                                                                                         |                    |                                                                                                                                      |                |  |  |  |  |  |  |  |
| March 1) (Date)                                                                                                                                                                                                                                                                                                                                                                                                   |                    | Robert Buccieri (Typed & Printed Nandof Person Mailing (Signature of Person Mailing Corre L905264410US ("Express Mail" Mailing Label |                |  |  |  |  |  |  |  |

Note: Each paper must have its own certificate of mailing.